

PTO/SB/88 (06-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Anthony R. Perez et al.Application No./Patent No.: 10/023,587 Filed/Issue Date: December 17, 2001Entitled: Disposable Self-Shielding Unit Dose Syringe GuardSafety Syringes, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 8844, Frame 0679, or for which a copy thereof is attached. And Reel 9087, Frame 0948, for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

8/24/04  
Date  
(760) 918-9908  
Telephone number

Christer Andreasson  
Typed or printed name

[Signature]  
Signature

President  
President

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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Patent and Trademark Office  
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Washington, D.C. 20231

FEBRUARY 03, 1998

PTAS

LYON & LYON LLP  
SAMUEL B. STONE  
633 WEST FIFTH STREET  
SUITE 4700  
LOS ANGELES, CA 90071-2066



\*100592410A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/02/1997

REEL/FRAME: 3844/0679  
NUMBER OF PAGES: 7

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

PEREZ, ANTHONY R.

DOC DATE: 10/01/1997

## ASSIGNOR:

MITCHELL, DAVID W.

DOC DATE: 10/01/1997

## ASSIGNEE:

SAFETY SYRINGES, INC.  
250 W. COLORADO BLVD., SUITE 101  
ARCADIA, CALIFORNIA 91007

SERIAL NUMBER: 08942938  
PATENT NUMBER:

FILING DATE: 10/02/1997  
ISSUE DATE:

JEEVON JONES, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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FEB 12 1998

U.S. PROSECUTION

12-18-1997		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
PTO-1535 1-31-92		1 SHEET	
100592410		Lyon & Lyon Docket No. 227/211	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies):  Anthony R. PEREZ and David W. MITCHELL		2. Name and address of receiving party(ies): Name: SAFETY SYRINGES, INC. Internal Address: Street Address: 250 W. Colorado Blvd., Suite 101 City: Arcadia State: CA ZIP 91007	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other		Execution Date: October 1, 1997	
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: October 2, 1997 A. Patent Application No(s). B. Patent No(s). Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: LYON & LYON LLP Internal Address: Samuel B. Stone Street Address: 633 West Fifth Street, Suite 4700 City: Los Angeles State: CA ZIP 90071-2066		6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41): \$ 40.00 <input checked="" type="checkbox"/> Enclosed Authorized to be charged to deposit account 8. Deposit Account Number: 12-2475 (Attach duplicate copy of this page if paying by deposit account.)	
DO NOT USE THIS SPACE			
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Samuel B. Stone - Reg. No. 19,297 Name of Person Signing & Reg. No. Signature Date October 2, 1997			
OMB No. 0651-0011 (exp. 4/94) Total number of pages including cover sheet, attachments, and document: 7			
Do not detach this portion			
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks Box Assignments Washington, D.C. 20231 Express Mail Label No. EM424913184US			
Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503			

227/211  
Patent

## A S S I G N M E N T

WHEREAS, we, ANTHONY R. PEREZ, a citizen of the United States, residing at 1475 South El Molino Avenue, Pasadena, California 91106; JOHN R. FIRTH, a citizen of the United States, residing at 7887 Sacajawea Way, Wilsonville, Oregon 97070; and DAVID W. MITCHELL, a citizen of the United States, residing at 7115 E. Suffolk Circle, Orange, California 92869 (hereinafter referred to as "ASSIGNORS"), have invented and own a certain invention entitled "DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD" for which application for Letters Patent of the United States of America has been executed on even date herewith;

WHEREAS, SAFETY SYRINGES, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 250 West Colorado Boulevard, Suite 101, Arcadia, California 91007 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said

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227/211  
Patent

invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent

227/211  
Patent

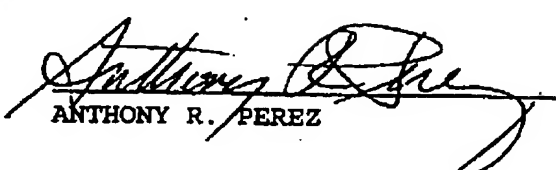
thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated legal representative any and all papers, instruments, declarations or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

227/211  
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WITNESS my hand at Menlo Park, California, this  
1<sup>st</sup> day of Oct, 1997.

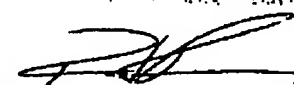
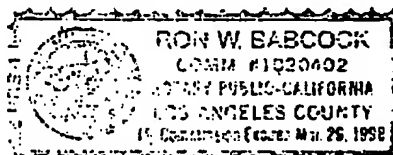
  
ANTHONY R. PEREZ

STATE OF CALIFORNIA     )  
                                  )     ss.  
COUNTY OF San Diego     )

On this 1<sup>st</sup> day of Oct, 1997,

before me, a Notary Public, personally appeared ANTHONY R. PEREZ  
known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same in  
his authorized capacity and that by his signature on the  
instrument the person, or the entity upon behalf of which the  
person acted, executed the instrument.

WITNESS my hand and official seal.

  
Notary Public in and for  
said County and State

227/211

Patent

WITNESS my hand at \_\_\_\_\_, Oregon,  
this \_\_\_\_\_ day of \_\_\_\_\_, 1997.

\_\_\_\_\_  
JOHN R. FIRTH

STATE OF OREGON                    )  
  )     ss.  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 1997,  
before me, a Notary Public, personally appeared JOHN R. FIRTH to  
me (or proved to me on the basis of satisfactory evidence) to be  
the person whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized  
capacity and that by his signature on the instrument the person,  
or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

\_\_\_\_\_  
Notary Public in and for  
said County and State

Seal



227/211  
Patent

WITNESS my hand at Menlo Park, California, this  
1<sup>st</sup> day of Oct., 1997.



DAVID W. MITCHELL

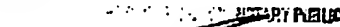
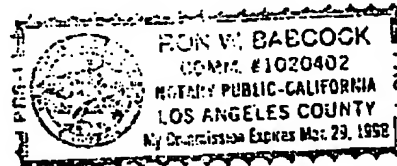
STATE OF CALIFORNIA )

COUNTY OF LOS ANGELES )

ss.

On this 1<sup>st</sup> day of Oct., 1997,  
before me, a Notary Public, personally appeared DAVID W. MITCHELL  
known to me (or proved to me on the basis of satisfactory  
evidence) to be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same in  
his authorized capacity and that by his signature on the  
instrument the person, or the entity upon behalf of which the  
person acted, executed the instrument.

WITNESS my hand and official seal.

  
NOTARY PUBLICNotary Public in and for  
said County and State



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 05, 1998

PTAS

LYON & LYON LLP  
SAMUEL B. STONE  
633 WEST FIFTH STREET, SUITE 4700  
LOS ANGELES, CALIFORNIA 90071-2066



\*100684258A\*

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RECORDATION DATE: 03/20/1998

REEL/FRAME: 9087/0948

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FIRTH, JOHN R.

DOC DATE: 03/05/1998

ASSIGNEE:

SAFETY SYRINGES, INC.  
250 WEST COLORADO BOULEVARD, SUITE 101  
ARCAIDA, CALIFORNIA 91007

SERIAL NUMBER: 08942938

FILING DATE: 10/02/1997

PATENT NUMBER:

ISSUE DATE:

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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and Trademark O

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cket No. 227/21

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

JOHN R. FIRTH

2. Name and address of receiving party(ies):

Name: SAFETY SYRINGES, INC.

Internal Address:

Additional name(s) of conveying party(ies) attached? Yes XX No

3. Nature of conveyance:

XX Assignment

Merger

Security Agreement

Change of Name

Other

Street Address: 250 West Colorado Boulevard, Suite 101

City: Arcadia State: CA ZIP 91007

Execution Date: March 5, 1998

Additional name(s) &amp; address(es) attached? Yes XX No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A Patent Application No(s).

08/942,938

B. Patent No(s).

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Additional numbers attached? Yes XX No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: LYON &amp; LYON LLP

Internal Address: Samuel B. Stone

Street Address: 633 West Fifth Street, Suite 4700

City: Los Angeles State: CA ZIP 90071-2066

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

XX Enclosed

Authorized to be charged to deposit account

8. Deposit Account Number:

12-2475

(Attach duplicate copy of this page if paying by deposit account.)

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

William A. English - Reg. No. P-42,515

Name of Person Signing &amp; Reg. No.

Signature

March 19, 1998

Date

OMB No. 0651-0011 (exp. 4/94)

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Express Mailing Label No. EL074059414US

Date: March 19, 1998

Signature

  
Linda L. Bolter

## A S S I G N M E N T

WHEREAS, I, JOHN R. FIRTH, a citizen of the United States, residing at 7887 Sacajawea Way, Wilsonville, Oregon 97070, (hereinafter referred to as "ASSIGNOR"), have invented and own a certain invention entitled "DISPOSABLE SELF-SHIELDING UNIT DOSE SYRINGE GUARD" for which application for Letters Patent of the United States of America was filed on October 2, 1997 and assigned Serial No. 08/942,938.

WHEREAS, SAFETY SYRINGES, INC., a corporation organized and existing under and by virtue of the laws of the State of California and having its principal place of business at 250 West Colorado Boulevard, Suite 101, Arcadia, California 91007 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby sells, assign, transfer and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and

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227/211  
Patent

interest to said invention and to all Letters Patent or similar legal protection, not only in the United States and its territorial possessions, but in all countries foreign thereto, to be obtained for said invention by said application or any continuation, division, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted, including all priority rights under the International Convention; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent and any legal equivalent thereof to said ASSIGNEE, its successors and assigns, in accordance with this Assignment.

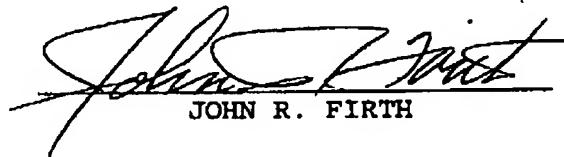
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon request of ASSIGNEE, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or legal proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its designated

227/211  
Patent

legal representative any and all papers, instruments,  
declarations or affidavits required to apply for, obtain,  
maintain, issue and enforce said application, said invention and  
said Letters Patent and said equivalents thereof in any foreign  
country which may be necessary or desirable to carry out the  
purposes thereof.

WITNESS my hand at Costa Mesa, California, this 5th  
day of March 1998.

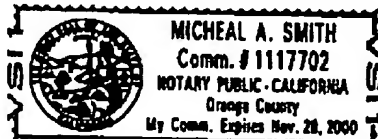
  
JOHN R. FIRTH

STATE OF CALIFORNIA       )  
                                  )  
COUNTY OF Orange       )       ss.

On this 5th day of March, 1998 before me, a  
Notary Public, personally appeared JOHN R. FIRTH ~~to me~~ proved  
to me on the basis of satisfactory evidence) to be the person  
whose name is subscribed to the within instrument and  
acknowledged to me that he executed the same in his authorized  
capacity and that by his signature on the instrument the person,  
or the entity upon behalf of which the person acted, executed the  
instrument.

WITNESS my hand and official seal.

Seal





Notary Public in and for  
said County and State